Corporate Governance Programme Risk Register

_	ect Name	CGI Pro	gramme	Programme	Manager	David Taylor-Smith			
Vers	ion Number	1		Date		25/01/12			
Risk	Summary	Date		Sco	ore	Controls	Control	Torget	
No.	Description of Risk	Raised	Owner	Likelihood	Impact	Description of Controls	Control Owner	Target Date	RAG Status
	There is a risk that the scope and timetable of the	18/01/12	DTS	Med	High	Each KLOE has a project plan with a clear timetable for the work and delivery of key products.	KLOE lead	On going	GREEN: However it is
1.	respective Key Lines of Enquiry do not sufficiently align with each other, which could compromise the overall					Weekly project team meetings to review KLOE progress against project and overall programme plans.	DTS	On going	acknowledged that KLOE 3 will be delivered on a phased basis
	outcome of the Corporate Governance Improvement Programme.					Weekly executive group meetings with the Deputy Leader to resolve problems as they arise/review KLOE progress against project and overall programme plans.	CEO	On going	beyond the programme deadline.
2.	There is a risk of there not being sufficient capacity within the Corporate Governance Improvement Team to manage the workload which could result in the overall programme being delayed.	18/01/12	DTS	Med	High	The programme workload is reviewed on a weekly basis at the team meeting and additional resources identified as required.	DTS	On going	GREEN
			DTS	High	High	Regular review discussions between the Programme Manager and AK regarding progress and next steps	DTS	On going	
3.	There is a risk that other issues, not specifically in KLOE scope, but which are fundamental to the overall	18/01/12				Involvement of LGA consultants to oversee progress with the CGI programme	DTS	On going	GREEN
	programme outcomes, are not sufficiently integrated.					Planning session scheduled to consider the CGI programme beyond 31st March.	DTS	24/01/12	
						Establishment of Improvement Board to review CGI progress	CEO	On going	
						As well as a lead officer (project manager), each KLOE has one of the statutory officers as a project sponsor as well as an allocated lead member.	DTS	On going	
	There is a risk that all relevant stakeholders are not appropriately engaged which could mean that the					A staff consultation has been launched from 16th January 2012	DTS	16/01/12	
4.	programme outcomes do not fully reflect the needs of the organisation.	18/01/12	DTS	Med	High	A Member survey has been completed and is being used to inform bespoke Corporate Governance Training and a revised Members development programme.	МН	23/12/11	GREEN
						Partner engagement is being pursued through the LSP and its supporting structures.	DTS	19/01/12	
	There is a risk of inadequate compliance with the agreed way forward.	18/01/12	DTS	High	High	An Improvement Board will oversee continued developments in Corporate Governance into the future.	CEO	On going	
5.						In line with the recommendation in the AKA report, consideration is being given to setting up a Corporate Governance Team to review compliance with the Code of Corporate Governance.	CEO	On going	GREEN
						The outcome of KLOE 4 will include recommendations for enhancing the Council's internal audit function to have more teeth in addressing Corporate Governance failures.	1	29/02/12	